

Section 75 Policy Screening Form

Part 1. Policy scoping

The first stage of the screening process involves scoping the policy under consideration. The purpose of policy scoping is to help prepare the background and context and set out the aims and objectives for the policy being screened. At this stage, scoping the policy will help identify potential constraints as well as opportunities and will help the policy maker work through the screening process on a step by step basis.

Public authorities should remember that the Section 75 statutory duties apply to internal policies (relating to people who work for the authority), as well as external policies (relating to those who are, or could be, served by the authority).

Information about the policy

Name of the policy

Trade Accelerator Grant (TAG)

Is this an existing, revised or a new policy?

New policy

What is it trying to achieve? (intended aims/outcomes)

TAG will be similar to mainstream and Export Opportunity GAP but will focus on providing trade specific support.

The programme aims to :-

TAG will provide one single digital application process to customers that require multiple trade interventions. At present customers have to complete multiple individual applications –TAG will allow for trade support to be bundled over a 24 month period using one application up to a max of £10k de minimis aid @ 50%.

Are there any Section 75 categories which might be expected to benefit from the intended policy?

If so, explain how.

TAG is expected to have a neutral impact on S75 groups. As a digital process, older customers might be less familiar or uncomfortable with such a process, but it is anticipated that this particular group will have staff support capable of assisting them to realise the benefits of a more efficient process.

Who initiated or wrote the policy?

Trade Division, supported by the Products Team.

Who owns and who implements the policy?

Once active, Trade Division will implement policy, working alongside relevant internal teams such as O&C and Internal Ops.

Implementation factors

Are there any factors which could contribute to/detract from the intended aim/outcome of the policy/decision?

No.

If yes, are they

- financial
- legislative
- other, please specify _____

Main stakeholders affected

Who are the internal and external stakeholders (actual or potential) that the policy will impact upon?

- staff
- service users
- other public sector organisations
- voluntary/community/trade unions
- other, please specify _____

Other policies with a bearing on this policy

- what are they?

Fundamentally, as a de minimis aid product, de minimis aid policy will be key. Tied to this will be policy in relation to mainstream and EO GAP – with a view to mitigating duplication across the respective products in terms of offers or claims.

- who owns them?

De minimis aid is provided by the EU. Mainstream GAP policy is co-ordinated via the Products Team, and EO GAP via the Regional Office Team.

Available evidence

Evidence to help inform the screening process may take many forms. Public authorities should ensure that their screening decision is informed by relevant data.

What evidence/information (both qualitative and quantitative) have you gathered to inform this policy? Specify details for each of the Section 75 categories.

Section 75 category	Details of evidence/information
Religious belief	No evidence available
Political opinion	No evidence available
Racial group	No evidence available
Age	As a digital process, older customers might be less familiar or uncomfortable with such a process, but it is anticipated that this particular group will have staff support capable of assisting them to realise the benefits of a more efficient process.
Marital status	No evidence available
Sexual orientation	No evidence available

Men and women generally	No evidence available
Disability	Reasonable adjustments will be made on request should there be any difficulty in the application of support.
Dependants	No evidence available

Needs, experiences and priorities

Taking into account the information referred to above, what are the different needs, experiences and priorities of each of the following categories, in relation to the particular policy/decision? Specify details for each of the Section 75 categories

None anticipated

Section 75 category	Details of needs/experiences/priorities
Religious belief	None anticipated
Political opinion	None anticipated
Racial group	None anticipated
Age	As a digital process, older customers might be less familiar or uncomfortable with such a process, but it is anticipated that this particular group will have staff support capable of assisting them to realise the benefits of a more efficient process.
Marital status	None anticipated
Sexual	None anticipated

orientation	
Men and women generally	None anticipated
Disability	Reasonable adjustments will be made on request should there be any difficulty in the application of support.
Dependants	None anticipated

Part 2. Screening questions

Introduction

In making a decision as to whether or not there is a need to carry out an equality impact assessment, the public authority should consider its answers to the questions 1-4 which are given on pages 66-68 of this Guide.

If the public authority's conclusion is **none** in respect of all of the Section 75 equality of opportunity and/or good relations categories, then the public authority may decide to screen the policy out. If a policy is 'screened out' as having no relevance to equality of opportunity or good relations, a public authority should give details of the reasons for the decision taken.

If the public authority's conclusion is **major** in respect of one or more of the Section 75 equality of opportunity and/or good relations categories, then consideration should be given to subjecting the policy to the equality impact assessment procedure.

If the public authority's conclusion is **minor** in respect of one or more of the Section 75 equality categories and/or good relations categories, then consideration should still be given to proceeding with an equality impact assessment, or to:

- measures to mitigate the adverse impact; or
- the introduction of an alternative policy to better promote equality of opportunity and/or good relations.

In favour of a 'major' impact

- a) The policy is significant in terms of its strategic importance;
- b) Potential equality impacts are unknown, because, for example, there is insufficient data upon which to make an assessment or because they are complex, and it would be appropriate to conduct an equality impact assessment in order to better assess them;
- c) Potential equality and/or good relations impacts are likely to be adverse or are likely to be experienced disproportionately by groups of people including those who are marginalised or disadvantaged;
- d) Further assessment offers a valuable way to examine the evidence and develop recommendations in respect of a policy about which there are concerns amongst affected individuals and representative groups, for example in respect of multiple identities;
- e) The policy is likely to be challenged by way of judicial review;
- f) The policy is significant in terms of expenditure.

In favour of 'minor' impact

- a) The policy is not unlawfully discriminatory and any residual potential impacts on people are judged to be negligible;
- b) The policy, or certain proposals within it, are potentially unlawfully discriminatory, but this possibility can readily and easily be eliminated by making appropriate changes to the policy or by adopting appropriate mitigating measures;
- c) Any asymmetrical equality impacts caused by the policy are intentional because they are specifically designed to promote equality of opportunity for particular groups of disadvantaged people;
- d) By amending the policy there are better opportunities to better promote equality of opportunity and/or good relations.

In favour of none

- a) The policy has no relevance to equality of opportunity or good relations.
- b) The policy is purely technical in nature and will have no bearing in terms of its likely impact on equality of opportunity or good relations for people within the equality and good relations categories.

Taking into account the evidence presented above, consider and comment on the likely impact on equality of opportunity and good relations for those

affected by this policy, in any way, for each of the equality and good relations categories, by applying the screening questions given overleaf and indicate the level of impact on the group i.e. minor, major or none.

Screening questions

1 What is the likely impact on equality of opportunity for those affected by this policy, for each of the Section 75 equality categories? minor/major/none		
Section 75 category	Details of policy impact	Level of impact? minor/major/none
Religious belief		None
Political opinion		None
Racial group		None
Age		None
Marital status		None
Sexual orientation		None
Men and women generally		None
Disability		None
Dependants		None

2 Are there opportunities to better promote equality of opportunity for people within the Section 75 equalities categories?		
Section 75 category	If Yes , provide details	If No , provide reasons
Religious belief		No – the policy is neutral.
Political opinion		No – the policy is neutral.
Racial group		No – the policy is neutral.
Age		No – the policy is neutral.
Marital status		No – the policy is neutral.
Sexual orientation		No – the policy is neutral.
Men and women generally		No – the policy is neutral.
Disability		No – the policy is neutral.
Dependants		No – the policy is neutral.

3 To what extent is the policy likely to impact on good relations between people of different religious belief, political opinion or racial group? minor/major/none		
Good relations category	Details of policy impact	Level of impact minor/major/none
Religious belief		None.
Political opinion		None.
Racial group		None.

4 Are there opportunities to better promote good relations between people of different religious belief, political opinion or racial group?		
Good relations category	If Yes , provide details	If No , provide reasons
Religious belief		No – the policy is neutral.
Political opinion		No – the policy is neutral.
Racial group		No – the policy is neutral.

Additional considerations

Multiple identity

Generally speaking, people can fall into more than one Section 75 category. Taking this into consideration, are there any potential impacts of the policy/decision on people with multiple identities?
(For example; disabled minority ethnic people; disabled women; young Protestant men; and young lesbians, gay and bisexual people).

Provide details of data on the impact of the policy on people with multiple identities. Specify relevant Section 75 categories concerned.

As a neutral policy, there are no multiple identity impacts.

Part 3. Screening decision

If the decision is not to conduct an equality impact assessment, please provide details of the reasons.

All S75 groups (with the obvious exception of dependents, who cannot be INI customers) can avail of TAG. It is a neutral product/policy, and therefore does not require an EIA.

If the decision is not to conduct an equality impact assessment the public authority should consider if the policy should be mitigated or an alternative policy be introduced.

N/A – Even though a EIA is not considered necessary, consultation about the product will take place to ensure that the policy does not negatively impact any S75 groups.

If the decision is to subject the policy to an equality impact assessment, please provide details of the reasons.

As per previous response.

All public authorities' equality schemes must state the authority's arrangements for assessing and consulting on the likely impact of policies adopted or proposed to be adopted by the authority on the promotion of equality of opportunity. The Commission recommends screening and equality impact assessment as the tools to be utilised for such assessments. Further advice on equality impact assessment may be found in a separate Commission publication: Practical Guidance on Equality Impact Assessment.

Mitigation

When the public authority concludes that the likely impact is 'minor' and an equality impact assessment is not to be conducted, the public authority may consider mitigation to lessen the severity of any equality impact, or the introduction of an alternative policy to better promote equality of opportunity or good relations.

Can the policy/decision be amended or changed or an alternative policy introduced to better promote equality of opportunity and/or good relations?

If so, give the **reasons** to support your decision, together with the proposed changes/amendments or alternative policy.

N/A

Timetabling and prioritising

Factors to be considered in timetabling and prioritising policies for equality impact assessment.

If the policy has been '**screened in**' for equality impact assessment, then please answer the following questions to determine its priority for timetabling the equality impact assessment.

On a scale of 1-3, with 1 being the lowest priority and 3 being the highest, assess the policy in terms of its priority for equality impact assessment.

Priority criterion	Rating (1-3)
Effect on equality of opportunity and good relations	1
Social need	1
Effect on people's daily lives	1
Relevance to a public authority's functions	1

Note: The Total Rating Score should be used to prioritise the policy in rank order with other policies screened in for equality impact assessment. This list of priorities will assist the public authority in timetabling. Details of the Public Authority's Equality Impact Assessment Timetable should be included in the quarterly Screening Report.

Is the policy affected by timetables established by other relevant public authorities?

If yes, please provide details

Part 4. Monitoring

Public authorities should consider the guidance contained in the Commission's Monitoring Guidance for Use by Public Authorities (July 2007).

The Commission recommends that where the policy has been amended or an alternative policy introduced, the public authority should monitor more broadly than for adverse impact (See Benefits, P.9-10, paras 2.13 – 2.20 of the Monitoring Guidance).

Effective monitoring will help the public authority identify any future adverse impact arising from the policy which may lead the public authority to conduct an equality impact assessment, as well as help with future planning and policy development.

Part 5 - Approval and authorisation

Screened by:	Position/Job Title	Date
Don McCann	Trade Manager	23/3/20
Approved by:		
Pamela Marron	Equality Manager	26/03/20

Note: A copy of the Screening Template, for each policy screened should be 'signed off' and approved by a senior manager responsible for the policy, made easily accessible on the public authority's website as soon as possible following completion and made available on request.