

Invest NI Resource Efficiency Capital Grant Scheme

Guidance Notes for Applicants

This competitive call opens on Tuesday 4th April 2023 and closes on Tuesday 16th May 2023 at 5:00pm.



Background

This document provides guidance on the Resource Efficiency Capital Grant (RECG) including eligibility criteria, application process and information required. If you are considering applying for RECG, please read this document carefully before submitting an application.

The budget available for this call is approximately £750,000 and must be fully claimed by 5:00pm, Wednesday 28th February 2024.

Aim of Grant Funding

The focus of the grant is on resource efficiency which is defined in the NI Waste Strategy as using resources i.e. raw materials, waste and water, in the most efficient manner whilst minimising the impact of their use on the environment. Invest NI shall use the Waste / Water Hierarchy defined in Appendix A of these guidance notes in order to assess each application.

Note: This scheme is primarily a material and water efficiency scheme and projects MUST demonstrate a significant material reduction. Projects that demonstrate a higher material and / or water reduction will be awarded a higher score as part of the appraisal process.

The aim of this funding is to encourage businesses to shift towards a circular economy and improve resource efficiency by installing equipment that will result in water and/or material efficiencies beyond regulatory requirements through provision of a capital grant of up to £50,000.

The rate of Grant support will depend on company size and turnover according to Regional Aid and De-Minimis Aid guidelines.

Note: For a Small, Medium or Large company, the maximum grant available will not exceed 30%, 20% or 10% respectively of eligible project costs up to a maximum £50,000 of support per application. The rate of support at 10% for large businesses, set in line with De-Minimis Aid rules. Regional Aid rates of support are set at 20% for medium sized businesses and 30% for small sized businesses. (See Appendix B for a full definition of Small, Medium and Large company categories)

For reasons of financial control, grants will only be available to client businesses that Invest NI currently supports and monitors – **Note:** businesses considering an application will be required to have discussed potential projects with their Client Executive before an application is submitted. Client Executives are required to endorse client projects applying to this scheme.

Examples of projects that will be considered for the RECG include:

- Equipment that eliminates the consumption of raw materials or uses less material in design and/or manufacture of product.
- Equipment that improves the efficiency of raw material consumption through improved processing or equipment that enables the re-use of materials and/or waste materials generated through a process on-site i.e. re-use of materials to manufacture product and/or re-use of water.
- Equipment that recovers value from waste and/or water that would otherwise be vented to the atmosphere or discharged to land or waterways.

Note: this list is not exhaustive, and equipment considered must be directly linked to the process and demonstrate resource efficiency. For example, local waste infrastructure projects, end of waste treatment/ sorting/segregating and or energy recovery projects are NOT eligible.

Only one project and one application per company will be accepted in this call. Projects that cannot be implemented and FULLY claimed by 5:00pm, Wednesday 28th February 2024 will **NOT** be eligible for this call. No extensions beyond this date will be permitted.

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Other support available:

Applicants with water, materials, waste, and energy costs of more than £30k per annum can also access free Technical Consultancy Support through Invest NI's Energy and Resource Efficiency team. This support can help them identify suitable projects and provide the information required for future RECG applications as well as identifying other potential cost savings. Our team can be contacted recg@investni.com or 0800 181 4422. Details of all support available from the Energy and Resource Efficiency team can be found on our website at [Reduce waste and save energy | Invest Northern Ireland \(investni.com\)](#).

Maximum Grant

Invest NI's Resource Efficiency Capital Grant Scheme provides financial assistance in accordance with the [UK's Subsidy Control Act 2002](#) or, where such financial support could affect the trade in goods between Northern Ireland and the EU, in line with the Regional aid and general provisions of [Commission Regulation \(EU\) No. 651/2014 – the General Block Exemption Regulation](#).

The rate of support is dependent on company size:

1. Small company:

For a small company, the grant rate will not exceed 30% of eligible project costs, up to a maximum of £50,000 in grant support per application.

2. Medium company:

For a medium company, the grant rate will not exceed 20% of eligible project costs, up to a maximum of £50,000 in grant support per application.

3. Large company:

For a large company, the grant rate will not exceed 10% of eligible project costs, up to a maximum of £50,000 in grant support per application. Any grant offer will be treated as either EU De Minimis aid or Minimum Financial Assistance under UK Subsidy Control.

In the case of large companies, Invest NI will seek information on any De Minimis aid and / or Minimum Financial Assistance awarded to the applicant in the current and previous two financial years.

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Eligible Expenditure

Eligible costs are the capital costs directly associated with the third-party design, purchase, delivery, installation and commissioning of equipment or changes to a process that saves material and/or water and reduces costs.

If a project has separate elements, for example a process which relies on the integration of the separate elements, the applicant must demonstrate a clear linkage between these elements in order for the project to be eligible for support. An element cannot be independent from the other elements; otherwise, the project will be ineligible as this will be considered as two separate projects.

Note: For bore well projects, applications will only be accepted if geological surveys, defined water extraction rates and water testing have been completed (test drilling, water testing and abstraction licence will not be included as eligible expenses). The client must have defined project costs and be in a position to install the bore well equipment for an application to be accepted. Note: Supporting evidence of assessing the proposed bore well MUST be provided along with the FULLY completed application.

Second-hand equipment is eligible for support providing the requisite number of quotations, referred to later in these guidance notes, are available. **Note:** applications must include an independent valuation along with the quote at the time of application.

Applicants will be required to assess and state the level of energy efficiency savings associated with projects as well as define the likely water, material and/or waste savings in the application form. Where estimated energy efficiency savings are greater than the total water and/or materials savings, projects will be deemed INELIGIBLE for this grant.

The following will **NOT** be eligible for support:

- A company is permitted to use company funds, or other unsecured lending, but we do NOT permit other finance to be secured on the equipment.
- Equipment leasing or hire purchase agreements and trade in of existing equipment is NOT permitted.
- Projects with a higher level of energy efficiency savings than the total of water, materials and/or waste materials.
- Equipment that delivers resource efficiency beyond the business premises i.e. mobile plant and/or equipment.
- Projects that do not demonstrate clear linkages between separate elements of the process.
- Projects that solely provide local waste infrastructure projects and do not demonstrate material efficiencies.
- Projects that solely meet compliance or other waste/ water licensing requirements.
- Projects that cover on-going software licencing costs.
- In-house personnel costs associated with the project.
- Ancillary works or additional infrastructure works. e.g. wiring of premises to accommodate the installation of equipment.
- Projects with payback periods of less than one year or greater than four years. If funding from other support schemes or incentives, has already been granted for the project.

Scheme Operation

Invest NI will issue a number of Calls for Proposals during the lifetime of the Scheme.

This call is open from Tuesday 4th April 2023 and closes at 5:00pm on Tuesday 16th May 2023. Applications received after this time will not be considered or appraised. Successful applications must be claimed by 5:00pm Wednesday 28th February 2024.

Applications that do not proceed to Letter of Offer will be automatically closed off. The full cost of any project must be incurred and grant (if offered) FULLY claimed by the final claim date as per the Letter of Offer.

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Technical Advisor Support

Due to time constraints and resource availability, Technical Advisor support for application process cannot be guaranteed after Wednesday 10th May 2023.

Invest NI will issue a call for proposals through its client teams and applicants must submit an online application by the specified closing date. **Note:** Applications that do not present all of the information requested will be rejected. Clients with bore well projects MUST complete the whole application form in detail and ONLY provide an attached PDF with ALL supporting evidence of assessing the proposed bore well as outlined in the eligible expenditure section.

Applicants MUST be able to demonstrate that they have access to the balance of funding for the project should their application proceed to a Letter of Offer.

Completed application form and quotation MUST be submitted online by the client to Invest NI no later than 5:00pm on Tuesday 16th May 2023. **Please note:** online applications cannot be resubmitted once received.

Claim Process

Grant claims MUST be submitted to and received by Invest NI via the [Invest NI Claim Pack](#) by the final claim date in the Letter of Offer once the funded equipment has been purchased and fully installed. Note: Part claims will NOT be accepted, and projects will only be considered complete once all equipment as per application is installed and efficiencies are being generated. Applicants should be aware that ALL payments must be made before the deadline and part exchange of old equipment as payment or hire purchase will NOT be accepted.

Energy and Resource Efficiency Staff will be available to provide guidance on the claims process.

Part claims will NOT be accepted, and projects will only be considered complete once all equipment as per application is installed and efficiencies are being generated.

Claims must be accompanied by an Auditor's certificate in the form provided by Invest NI if the grant claim is for more than £25,000.

Claims must be accompanied by a Fixed Assets Register, which should at least contain the following: fixed asset number, date of purchase, asset cost, opening depreciation, net book value, and asset description. Costs MUST be incurred and paid for by the business named on the Letter of Offer.

Fully installed equipment must be technically vouched by an Invest NI Technical Advisor, during which photos of equipment, serial numbers and machine details will be taken.

How to Apply / Quotations

How to Apply:

Once you have your project information and evidence collated, the online application process takes approximately 2 to 3 hours to complete.

Please review the sample application form which shows how this application should be completed.

You will need the following information to complete the online application form:

- Information on your business background e.g. annual energy usage.
- Proposed project description, including the purpose and benefits of your proposed project(s).
- Accurate calculations of proposed resource savings using 12 months of typical material and/or water consumption data for your specific project and how material and/or water consumption will reduce as a result of implementing the resource efficiency equipment.

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- Information on project costs, including itemised expenditure.
- Information on how you plan to fund the balance of the project should you receive a grant.
- A completed Invest NI Resource Savings and Project Costs spreadsheet must be attached with the application, ensuring the proposed resource efficiency equipment fulfils the eligibility criteria set out above (i.e. material savings and a simple payback of between 1 year and 4 years). For example use before and after project implementation.

Please Note

- Future scenario material costs should be the same as the material costs used in baseline.
- Do not use projected growth figures for future year's e.g. extra material throughput due to increase in sales.
- Only information received via the online portal will be accepted/reviewed, and under no circumstances is information to be emailed to Technical Advisors.

To attach documents to your application, please click the 'Attach Documents' button at the relevant section. You will need to provide a description of the document to identify the document provided.

Please note the maximum size of each attachment is 20MB.

You can save your application and return to it at any time, prior to the closing date of 5:00pm Tuesday 16th May 2023. You will need to click on the 'Submit' button when you have completed your application.

Technical support for your resource efficiency project application is available from Invest NI's Energy and Resource Efficiency Team should you require it. Please also review example application for further guidance.

Quotations:

Except with the prior written consent of Invest NI, applicants shall ensure that at least three quotations for eligible expenditure are obtained. Note: Only the preferred quotation needs to be supplied with the application for the proposed expenditure with the other two being retained by the applicant for corroboration if needed during assessment of the application.

At least one of these quotations should be from a supplier based in Northern Ireland if such exists. For second-hand equipment, one quotation is required and MUST BE certified with an independent valuation approved by Invest NI (the valuation cost will be at the expense of the client).

Quotations supplied with applications must:

- Identify the supplier.
- Clearly relate to the costs outlined in the application.
- Be received within the last 2 months and to remain valid throughout the RECG call.
- Be in pounds sterling, or, if another currency, must be supplemented with a dated rational and detailed exchange rate e.g. XE.com exchange rate.
- Be addressed to the company making the application.
- Pro forma Invoices will not be accepted.

Quotations that DO NOT meet the above criteria will result in an unsuccessful application which will NOT be considered or evaluated further.

Eligible costs and therefore maximum grant payable will be based on the quoted price at the time of application.

Project Start Date

If any expenditure is incurred prior to receiving a Letter of Offer from Invest NI the entire project will no longer be eligible for support – this includes the following: placing orders, signing contracts, paying deposits or advances.

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Appraisal of Applications

All applicants should note that acceptance of an application form by Invest NI, or assistance provided by any Invest NI employees in the application process should not be considered an indication that funding will be offered or that costs included are eligible.

All queries about the application should be directed in the first instance to the company's Energy and Resource Efficiency team Technical Advisor or Client Executive. Funding of projects is subject to budget approval, all decisions are final. Whilst there is no appeal process, applicants are encouraged to discuss potential projects with their Client Executives and Technical Advisors within the Energy and Resource Efficiency team before submitting applications.

Applications under competition will be scored and ranked competitively against the following criteria:

Criteria	Scoring Mechanism
1. Ability to claim by Wednesday 28 th February 2024	
2. Essential Documentation: <ul style="list-style-type: none"> • Fully completed application form • Completed materials calculator detailing project capital costs, material quantities and unit costs. I. Quote: <ul style="list-style-type: none"> • Quote clearly relates to costs outlined in application. • Quote is dated, recent and valid. A quote in pounds sterling, or, if another currency, must be supplemented with a rational and detailed exchange rate e.g. XE.com exchange rate. • Quote clearly addressed to company making the application. II. IF the application is for second hand equipment: <ul style="list-style-type: none"> • The quotation provided must be certified with an independent valuation approved by Invest NI (the valuation cost will be at the expense of the client). III. IF the application is for a borewell project, supporting evidence must be included to prove: <ul style="list-style-type: none"> • Completion of geological surveys. • Completion of water testing. • Defined water extraction rates. 	Pass/Fail <p>Please note: Where essential documentation does not meet the criteria, this will result in an unsuccessful application.</p>
3. Project payback period: <ul style="list-style-type: none"> • Must be greater than one year and less than four years. Note: Cost Savings associated with resource efficiency solutions only will be taken into consideration. Labour savings, additional sales, energy efficiency savings or additional production throughput generated as a result of a new technology will not be considered.	Scored out of 50 <p>Please note: Simple payback will be used to appraise all applications using the following formula: $\text{Eligible Capital Expenditure (before grant)} \div \text{Annual Savings.}$ </p>
4. Your application form and supporting documentation MUST be submitted before 5:00pm Tuesday 16 th May 2023. These will then be checked to ensure your project(s) meets the RECG eligibility criteria.	

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If concerns arise during the checking process of an application, Invest NI reserves the right to seek further clarification/information, in particular relating to:

- The level of materials and/ or water consumption used by a specific technology/system.
- The efficiency of the existing and/or proposed technology/equipment.
- Cost of the proposed technology/equipment.

	Scored out of 50
5. Waste/Water Hierarchy (Please refer to Appendix A – Waste/Water Hierarchy Guidance) Note: This scheme is primarily a material and water efficiency scheme and projects MUST demonstrate a significant material and/ or water reduction rather than only cost savings.	Please note: The Waste/Water Hierarchy will be used to appraise projects. Those that demonstrate the prevention of waste, water consumption and reduction in raw material consumption will score higher.
6. Completeness and accuracy of application form assessed: <ul style="list-style-type: none">• Outline the opportunity• Current consumption• Explain the solution• Future consumption• Project savings	Scored out of 50

Notification of Funding Decision

Once applications are approved, applicants are informed via email that their application has been successful and a Letter of Offer will be issued. Unsuccessful applicants will also be notified via email.

Please DO NOT start your project, incur cost or pay any deposits until you receive a Letter of Offer for your project from Invest NI.

If you incur costs because you have signed contracts, given permission to start work, raised invoices or made payments before you have received an Invest NI Letter of Offer, these costs CANNOT be claimed for and could prevent you from accessing any of the grant funding awarded.

PLEASE NOTE: If you decide to change your equipment and/or supplier from the original quote supplied at the application stage you MUST notify Invest NI immediately to discuss the proposed change. If you agree any changes to supplier/equipment prior to approval from Invest NI you risk NOT being able to claim for the equipment costs and this could prevent you from accessing any of the grant funding awarded.

Your Responsibilities

You are responsible for providing accurate and true information on your application.

The provision of wrong or misleading information is an offence and any such information may be used against you in any subsequent criminal investigation.

The information provided on this application form may be made available to other departments or agencies for the purposes of preventing or detecting crime.

You are responsible for obtaining any legal consents and complying with any legal requirements for the implementation of your project. This may include but is not limited to requirements in relation to monitoring and landlord consents. Invest NI will not accept responsibility for your failure to comply with any legal requirements in the implementation of your project should it be successful.

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Data Protection and Handling

The RECG is managed and administered by Invest NI who will have access to the information you provide as part of your application; both as a means to assess your eligibility and to conduct any post scheme governance assessments.

As this financial support is sourced from public funds Invest NI will, in the interest of openness and transparency, proactively publish limited information on the fund including:

- name of applicant
- application title
- amount of assistance

Invest NI will handle your data in compliance with applicable laws including the General Data Protection Regulation and the Data Protection Act 2018.

For further details on our data handling practices please see the [Invest NI Privacy Notice](#).

General Enquiries

If you have any enquiries about the RECG, please email recg@investni.com.

You can also call Invest NI's Business Support Team on 0800 181 4422.

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Appendix A	
Waste / Water Hierarchy	
<p>The diagram illustrates the Waste and Water Hierarchy as a funnel, indicating a progression from higher to lower scores:</p> <ul style="list-style-type: none"> Prevention: Eliminating materials, using less material in products, using less hazardous materials minimising scrap. Eliminating water from alternative sources. Preparation for re-use on site: Checking, cleaning, repairing, refurbishing, whole items or spare parts for use onsite for same purpose. Water filtration for same use again. Recycling: Turning waste into a new substance or product; Water recycled for another use. Other recovery: Includes anaerobic digestion, incineration with energy recovery, gasification and pyrolysis which produce energy (fuels, heat and power) and materials from waste; some backfilling. Disposal: Landfill and incineration without energy recovery. 	<p>Prevention</p> <ul style="list-style-type: none"> • Purchasing fewer materials • Reducing process waste • Using less hazardous materials • Efficient weighing machinery • Lightweight packaging • Efficient cutting machinery • Keeping products for longer (extending the shelf life) • Improved paint application systems • Water efficient devices (taps, showers and nozzles) • Alternative water use - Rainwater Harvesting/Bore well/reclaimed water • Reducing quantity of smaller containers by purchasing in bulk <p>Preparing for re-use on-site</p> <ul style="list-style-type: none"> • Improved cleaning in process technology • Reuse of packaging • Water filtration <p>Recycling</p> <ul style="list-style-type: none"> • Recovering the value of waste from a process to reuse • Granulation, blending • Recycling water • Centrifuge, settlement tanks, solvent capture and recovery <p>Other Recovery</p> <ul style="list-style-type: none"> • Hard-core / Backfilling • Energy from waste (not eligible)

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Appendix B

European Union Notification and Business Size Definitions

State Aid Notification:

For Small and Medium businesses, the Resource Efficiency Capital Grant scheme will be delivered under Commission Regulation (EU) No. 651/2014 of 17 June 2014 (the “General Block Exemption”), Article 14 Regional investment aid, state aid notification no. SA.49877.

For Large businesses the scheme will be delivered under De Minimis aid, Commission Regulation (EU) No.1407/2013 of 18 December 2013.

Business Size Definitions:

A Small Enterprise is defined as:

An Enterprise that has fewer than 50 employees and
Has either an annual turnover and/or an annual balance sheet total not exceeding €10m

A Medium sized Enterprise is defined as:

An enterprise that has between 50 employees and 249 employees and
Has either an annual turnover not exceeding €50m or an annual balance sheet total not exceeding €43m

A Large Enterprise will be anything else that does not fall into either the smaller or medium-sized categories.

If a company is part of a group then the employee, turnover, annual balance sheet and ownership limits apply to the group.

In all cases, if the enterprise is more than 25% owned by one or more enterprises or itself has a holding of more than 25% in any other enterprise, it will be necessary to consult the full definition in the Official Journal (see *below).

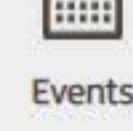
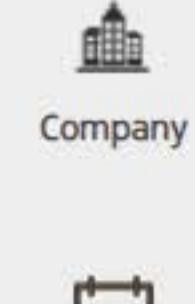
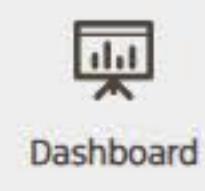
Excluded from the holding company threshold in the above definition are public investment corporations, venture capital companies and institutional investors provided that they do not exercise control. However, an enterprise cannot be considered as meeting either definition if 25% or more of the capital or voting rights are directly or indirectly controlled, jointly or individually, by one or more public bodies.

*For the full definition, see the “Official Journal of the European Commission (L 124/36) 20th May 2003 – Commission Recommendation of 6th May 2003 concerning the definition of micro, small and medium-sized enterprises.” <https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=LEGISSUM%3An26026>

Appendix C

Example Application Form

The following pages detail an example application to support applicants. This is purely an example to support applicants in the layout of their submission. Applicants are responsible for ensuring that all relevant information for their project is included within their application via the Invest NI Customer portal.



Resource Efficiency Capital Grant 2022

Closing Date: N/A Project Owner: N/A Status: Draft

Application Details Related Documents

1 2 3 4 5 6 7 8 9

Application Information

Resource Efficiency Capital Grant (RECG)

The Resource Efficiency Capital Grant (RECG) is designed to encourage businesses to install equipment that will result in water or material efficiencies beyond regulatory requirements through provision of a capital grant. Examples of projects that may be considered under this scheme can include:

- Equipment that eliminates the consumption of raw materials or uses less material in design and/or manufacture of product.
- Equipment that improves the efficiency of raw material consumption through improved processing or equipment that enables the re-use of materials and/or waste materials generated through a process on-site i.e. re-use of materials to manufacture product and/or re-use of water.
- Equipment that recovers value from waste and/or water that would otherwise be vented to the atmosphere or discharged to land or waterways.

You will need the following information to complete this application.

- At least three quotations from suppliers about the equipment you propose to purchase, as outlined in the [Guidance Notes](#), along with exchange rate information if the quote is not in GBP.
- Information about your business (such as company background information, details of the Project Manager and current costings of your resource use).
- Information about the project you will undertake (such as details on regulatory requirements and operational risks).
- Supporting documentation if you are applying for a Borewell project, or an Independent Valuer's report if the equipment is to be purchased second hand.
- Details on the project costs and savings (Anticipated project costs and savings should be detailed in the 'Resource Savings & Project Costs' excel spreadsheet template linked below. **We would advise downloading and completing this spreadsheet first, so that it can be uploaded during the relevant section.**)

[Download Resource Savings & Project Cost Template](#)

The application is likely to take 2-3 hours to complete. You can save your work and return to the application at any time.

Important Information

- As this is a competitive process, the submission of an application does not guarantee project funding.
- Any expenditure incurred prior to receiving a letter of offer from Invest NI will not be eligible for support.
- Support is paid net of VAT.
- Support paid is subject to [Invest NI Eligible Costs policies](#) (eligible costs and payment methods).
- Before submitting an application you should have the endorsement of your Client Executive to proceed with support for the project and must have discussed it with an Invest NI Technical Advisor.
- To allow other people to access the application, please click the 'Add Contributors' button to the right and add them to the list of authorised contacts.
- For further details on costs which are eligible to be claimed back, please see the programme [Guidance Notes](#).
- You should have read and understood the guidance notes:
 - Appendix A relates to the categories of the Waste/Water Hierarchy, provides definitions at each stage and provides examples of projects which may be considered for support. It also contains further details on the definitions of various sizes of enterprises and the support available for each size of business.

[Download Guidance Notes](#)

Privacy

For details on how we use your personal data, including your rights, please visit our [privacy notice](#).

As this financial support is sourced from public funds Invest NI will, in the interest of openness and transparency, proactively [publish limited information](#) on the scheme including:

- Name of applicant.
- Application title.
- Amount of assistance.

Accessibility

If you require an application form in an alternative format, please contact equality@investni.com or see our [Equality Statement](#) for further details.

A response is required for all question marked with *.

* I have read the guidance notes

[Next](#)

Please remember to save your work using the buttons on the top right hand side.

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Dashboard



Profile



Company



Events



Projects



Applications

Resource Efficiency Capital Grant 2022

Closing Date: N/A Project Owner: N/A Status: Draft

Application Details

Related Documents

1 2 3 4 5 6 7 8 9

Project Details

* Main Project Contact

Select the Main Project Contact. If the Contact does not exist please [click here](#) to add.

A B Jones

Save draft

Download application

Submit application

Add Contributors

Withdraw application

* Project Title

Please enter a descriptive name for your application title (between 3-20 words). This application title, alongside limited details of your participation, may be [published](#) or [discoverable](#). Therefore we recommend you choose a title that will not disclose commercially sensitive information about your project.

New Laser CNC Cutting Machine to replace an inefficient Plasma CNC Cutting Machine

Word Count: 13 of 20

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Resource Efficiency Capital Grant 2022

Closing Date: N/A **Project Owner:** N/A **Status:** Draft

[Application Details](#) [Related Documents](#)
[1](#) [2](#) [3](#) [4](#) [5](#) [6](#) [7](#) [8](#) [9](#)
Project Risks

Please provide details on any key project risks which you believe have a medium to high impact and medium to high probability of happening. You do not need to include information on risks that have a low probability of happening or that would have a low impact on the project. You should consider:

- **Technical risks** (e.g. Knowledge gaps, new/untested technology, skills availability)
- **Business risks** (e.g. Strategic, compliance, financial, staffing) and;
- **Operational risks** (e.g. legislation, funding, cost control) and;
- **Project risks** (e.g. lack of supplier expertise) and;
- **Risks posed by Covid-19 and EU Exit.**

For more information on understanding the different types of risk that your business faces, click [here](#).

* Are there any medium to high impact and medium to high probability risks to your project?

Yes No

List the key project risks which you believe have a medium to high impact and medium to high probability of happening. You do not need to include information on risks that have a low probability of happening or that would have a low impact on the project.

[Add Risk](#)

Description ↑	Impact	Probability	Mitigation
Financial risk - it is planned that the additional funds required beyond the grant will be paid by company funds and if necessary by a loan from the MD to the company.	Medium	Medium	The business have prepared a full set of financial projections and these show that the situation is manageable. Improved quality and materials efficiencies provided by the new laser CNC cutting machine will improve the cost competitiveness of the business.
Project risk - There are supply chain problems effecting all engineering business in NI due to a combination of reasons.	Medium	Medium	Should supply chain problems delay installation of the new laser CNC cutting machine the business will continue to use the existing plasma CNC cutting machine and will not affect the business adversely.
Project risks - Supplier of laser CNC cutting machine either fails in his contracted promise to provide training and technical support, or goes out of business	Medium	Medium	The business has identified other sources of expertise and training in set up and operation of the laser CNC cutting machine we propose to purchase.

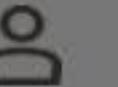
[Previous](#)
[Next](#)

Please remember to save your work using the buttons on the top right hand side.

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 [Save draft](#)
 [Download application](#)
 [Submit application](#)
 [Add Contributors](#)
 [Withdraw application](#)
 [Save draft](#)
 [Download application](#)
 [Submit application](#)
 [Add Contributors](#)
 [Withdraw application](#)



Dashboard



Profile



Company



Events



Projects



Applications

Description*

Strategic, Compliance, Financial, Operational risks (e.g competitors, market, legislation, funding, cost control, recruitment, knowledge gaps, new/untested technology).

Impact* Medium High**Probability*** Medium High**Mitigation***

What steps are being taken to manage the risk? e.g. external expertise, risk registers, management controls, business intelligence.

Submit**Status:** Draft

Draft

Load application

Edit application

Contributors

Raw application

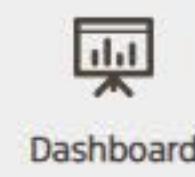
Description ↑**Impact****Probability****Mitigation**

Financial risk - it is planned that the additional funds required beyond the grant will be paid by company funds and if necessary by a loan from the MD to the

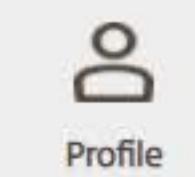
Medium

Medium

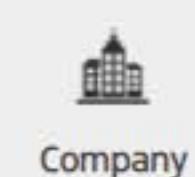
The business have prepared a full set of financial projections and these show that the situation is manageable. Improved quality and materials efficiencies provided



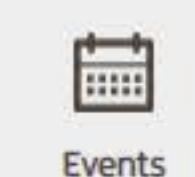
Dashboard



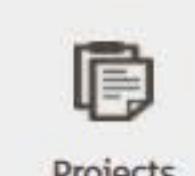
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Project Costs

Note: The figures below should match the figures in the Resource Savings & Project Costs spreadsheet.

* Design/Specification cost (if any) (£)

0

* Equipment costs (£)

219,000

* Installation/Commissioning costs (if any) (£)

0

* Equipment delivery costs (if any) (£)

9,492.00

* Other Costs (£)

These costs will not be eligible for support.

0

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Attachments and supplementary information

To help support your application, you may also need to attach/include additional information such as quotations, reports, exchange rates or supplementary information. You can upload these documents along with the Resource Savings & Project Costs spreadsheet outlined in the introduction of this application. If you have not already downloaded the spreadsheet, you can download it below. You can attach the supporting documentation and the Resource Savings & Project Costs spreadsheet by clicking the 'Attach Documents' button below.

[Download Resource Savings & Project Cost Template](#)[Attach Documents](#)

* Will the equipment be new or second hand?

 New Second hand

* Have you attached quotations that are valid and dated?

 Yes No

* If applicable, have you included information on borewells?

 Yes No

* If applicable, have you included dated information on the exchange rate for costs incurred that are not in Pounds Sterling?

Please note, if including exchange rate information, it is important that both the amount and date are visible in the same quote/screenshot.

 Yes No Not Applicable[Previous](#)[Next](#)

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QUOTATION

Buyer Company Name
and full address

Seller Company Name
and full address

- Quote clearly relates to costs outlined in application.
- Quote is dated, recent and valid.
- A quote in pounds sterling, or, if another currency, must be supplemented with a rational and detailed exchange rate e.g. XE.com exchange rate.
- Quote clearly addressed to company making the application.

IF the application is for second hand equipment:

- The quotation provided must be certified by an independent valuer approved by Invest NI (the valuation cost will be at the expense of the client).

100 EUR to GBP - Convert Euros to British Pounds

Xe Currency Converter

[Convert](#)[Send](#)[Charts](#)[Alerts](#)**Amount****From**

EUR – Euro

To

GBP – British Pound



100.00 Euros =

85.180127 British Pounds

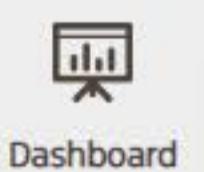
1 EUR = 0.851801 GBP

1 GBP = 1.17398 EUR

[View transfer quote](#)

We use the mid-market rate for our Converter. This is for informational purposes only. You won't receive this rate when sending money. [Check send rates](#)

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Viability

* Is there a project plan in place with milestones and timeframes?

 Yes No

* Is there sufficient finance available to the business to complete the project, prior to claiming back the funding?

As outlined in the Claims guidance information, funding for projects can only be claimed back after completion of the project. Businesses must initially provide the outlay for the project costs and then claim these back in arrears.

 Yes No

* What is the source of the finance that will be used to complete the project, prior to claiming back the funding?

Please note: Project expenditure obtained via hire purchase or trade-in is not eligible for financial support.

 Own funds Loan

* Do you accept that only fully installed, commissioned and paid projects are able to be claimed for?

 Yes No

* Are you able to claim all expenditure by the end of the claim period?

The claim period for this scheme is detailed in the [Guidance Notes](#).

 Yes No

* Estimated date for ordering equipment

01/08/2022



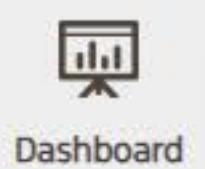
* Estimated date for delivery and installation

01/09/2022

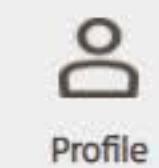

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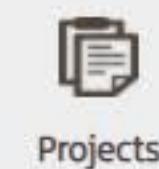
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Why is Invest NI funding required?*** Without Invest NI funding:**

Please select most relevant option.

- The project will go ahead regardless
- The project will go ahead, at a later date, at a similar scale
- The project will go ahead, but will take longer to complete
- The project will go ahead, but at a reduced scale
- The project will go ahead, but at a lower quality
- The project will be abandoned

*** Without Invest NI funding:**

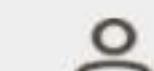
Please select the relevant option.

- Without the project we probably won't continue trading
- If successful, the project will account for a significant cost saving
- The project outcome is important to the business, but we have a number of other products or services in the pipeline
- The project outcome is of modest importance to the business
- The project outcome is of minor importance to the business

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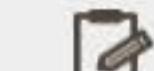
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Declaration

By signing and electronically submitting this application, you confirm the following:

- I have not applied, and will not apply, to any other government department / local authority / research council / public body in respect of the costs of undertaking this project outlined in this application, unless otherwise detailed in this application.
- I will allow access to the business, to any authorised person, for the purpose of carrying out an inspection, in order to verify the accuracy of the information in this application.
- I will notify Invest NI in advance of carrying out any changes to the nature of this project.
- I understand that any expenditure incurred on this project outside of written approvals from Invest NI will not be eligible for financial assistance.
- I understand that Invest NI may release select information, relating to the participation of my business in this programme, in line with their [Privacy Notice](#) and their statutory obligations under [Freedom of Information](#).
- I understand that copies of all or some of the information provided in this application form may be provided to accountants, individuals and organisations, including governmental bodies, who Invest NI may consult with when assessing applications, administering a programme and evaluating the award of a grant or for research purposes. I authorise any enquiries necessary to be made (including using personal information to conduct appropriate checks with credit reference agencies) to confirm the information contained in this form and assess the proposal.
- I will provide any further information as may be required by Invest NI.

* I confirm that I have attached the costs spreadsheet outlined in Step 6 of this application.

* I understand that the limits on the support for this project are linked to the business size, as outlined at the start of this application and in the guidance notes.

These limits are determined by the size of the business, as defined by the EU definition:

- Large enterprises - maximum of 10% of eligible project costs
- Medium enterprises - maximum of 20% of eligible project costs
- Small enterprises - maximum of 30% of eligible project costs

Authorisation

All applications must be authorised in the case of a Limited company, by a Director or the Secretary. In the case of a Sole Trader or Partnership, by a Proprietor or Partner.

By electronically signing and submitting this application you declare the following: "I confirm that the information contained in this form is true, accurate and not misleading as at the date of this form, and that Invest NI is entitled to rely on that information. I am authorised to apply for this scheme."

* Name

Mr A B Jones

* Position in Organisation

Director

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